

# 2022 SEC Filing Deadline Calendar

SEC deadlines for companies with December 31, 2021 fiscal year-end<sup>1</sup>

## JANUARY

| Su | Mo | Tu | We | Th | Fr | Sa |
|----|----|----|----|----|----|----|
|    |    |    |    |    |    | 1  |
| 2  | 3  | 4  | 5  | 6  | 7  | 8  |
| 9  | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 |    |    |    |    |    |

## APRIL

| Su | Mo | Tu | We | Th | Fr | Sa  |
|----|----|----|----|----|----|-----|
|    |    |    |    |    |    | 1 2 |
| 3  | 4  | 5  | 6  | 7  | 8  | 9   |
| 10 | 11 | 12 | 13 | 14 | 15 | 16  |
| 17 | 18 | 19 | 20 | 21 | 22 | 23  |
| 24 | 25 | 26 | 27 | 28 | 29 | 30  |

## JULY

| Su | Mo | Tu | We | Th | Fr | Sa  |
|----|----|----|----|----|----|-----|
|    |    |    |    |    |    | 1 2 |
| 3  | 4  | 5  | 6  | 7  | 8  | 9   |
| 10 | 11 | 12 | 13 | 14 | 15 | 16  |
| 17 | 18 | 19 | 20 | 21 | 22 | 23  |
| 24 | 25 | 26 | 27 | 28 | 29 | 30  |
| 31 |    |    |    |    |    |     |

## OCTOBER

| Su | Mo | Tu | We | Th | Fr | Sa |
|----|----|----|----|----|----|----|
|    |    |    |    |    |    | 1  |
| 2  | 3  | 4  | 5  | 6  | 7  | 8  |
| 9  | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 |    |    |    |    |    |

## FEBRUARY

| Su | Mo | Tu | We | Th | Fr | Sa        |
|----|----|----|----|----|----|-----------|
|    |    |    |    |    |    | 1 2 3 4 5 |
| 6  | 7  | 8  | 9  | 10 | 11 | 12        |
| 13 | 14 | 15 | 16 | 17 | 18 | 19        |
| 20 | 21 | 22 | 23 | 24 | 25 | 26        |
| 27 | 28 |    |    |    |    |           |

## MAY

| Su | Mo | Tu | We | Th | Fr | Sa |
|----|----|----|----|----|----|----|
| 1  | 2  | 3  | 4  | 5  | 6  | 7  |
| 8  | 9  | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 |    |    |    |    |

## AUGUST

| Su | Mo | Tu | We | Th | Fr | Sa          |
|----|----|----|----|----|----|-------------|
|    |    |    |    |    |    | 1 2 3 4 5 6 |
| 7  | 8  | 9  | 10 | 11 | 12 | 13          |
| 14 | 15 | 16 | 17 | 18 | 19 | 20          |
| 21 | 22 | 23 | 24 | 25 | 26 | 27          |
| 28 | 29 | 30 | 31 |    |    |             |

## NOVEMBER

| Su | Mo | Tu | We | Th | Fr | Sa        |
|----|----|----|----|----|----|-----------|
|    |    |    |    |    |    | 1 2 3 4 5 |
| 6  | 7  | 8  | 9  | 10 | 11 | 12        |
| 13 | 14 | 15 | 16 | 17 | 18 | 19        |
| 20 | 21 | 22 | 23 | 24 | 25 | 26        |
| 27 | 28 | 29 | 30 |    |    |           |

## MARCH

| Su | Mo | Tu | We | Th | Fr | Sa        |
|----|----|----|----|----|----|-----------|
|    |    |    |    |    |    | 1 2 3 4 5 |
| 6  | 7  | 8  | 9  | 10 | 11 | 12        |
| 13 | 14 | 15 | 16 | 17 | 18 | 19        |
| 20 | 21 | 22 | 23 | 24 | 25 | 26        |
| 27 | 28 | 29 | 30 | 31 |    |           |

## JUNE

| Su | Mo | Tu | We | Th | Fr | Sa      |
|----|----|----|----|----|----|---------|
|    |    |    |    |    |    | 1 2 3 4 |
| 5  | 6  | 7  | 8  | 9  | 10 | 11      |
| 12 | 13 | 14 | 15 | 16 | 17 | 18      |
| 19 | 20 | 21 | 22 | 23 | 24 | 25      |
| 26 | 27 | 28 | 29 | 30 |    |         |

## SEPTEMBER

| Su | Mo | Tu | We | Th | Fr | Sa    |
|----|----|----|----|----|----|-------|
|    |    |    |    |    |    | 1 2 3 |
| 4  | 5  | 6  | 7  | 8  | 9  | 10    |
| 11 | 12 | 13 | 14 | 15 | 16 | 17    |
| 18 | 19 | 20 | 21 | 22 | 23 | 24    |
| 25 | 26 | 27 | 28 | 29 | 30 |       |

## DECEMBER

| Su | Mo | Tu | We | Th | Fr | Sa    |
|----|----|----|----|----|----|-------|
|    |    |    |    |    |    | 1 2 3 |
| 4  | 5  | 6  | 7  | 8  | 9  | 10    |
| 11 | 12 | 13 | 14 | 15 | 16 | 17    |
| 18 | 19 | 20 | 21 | 22 | 23 | 24    |
| 25 | 26 | 27 | 28 | 29 | 30 | 31    |

- Large Accelerated Filers
- Accelerated Filers
- ▲ Non-Accelerated Filers
- ◀ Definitive Proxy Statement
- ▶ Foreign Private Issuers
- ◼ SEC Holidays

1. Deadlines may be calculated for companies with non-calendar-year fiscal year-ends using the applicable number of days after year-end. When the deadline falls on a weekend or holiday, the deadline is extended to the next business day pursuant to Rule 0-3(a) under the Securities Exchange Act of 1934, as amended.

## BENEFICIAL OWNERSHIP FILING DEADLINES

|                     |  |
|---------------------|--|
| <b>Form 3</b>       | Within 10 days of becoming an officer, director, or beneficial owner of more than 10% of a class of equity securities registered under the Exchange Act; if the issuer is registering equity for the first time, then by the effective date of the applicable registration statement |
| <b>Form 4</b>       | 2 business days after the transaction date   |
| <b>Form 5</b>       | 45 days after fiscal year-end (February 14)  |
| <b>Schedule 13G</b> | 45 days after calendar year-end (February 14)  |
| <b>Schedule 13D</b> | 10 days after acquiring more than 5% beneficial ownership; amendments are due promptly after material changes  |

## OTHER REPORTING DEADLINES

|                  |  |
|------------------|--|
| <b>Form 8-K</b>  | 4 business days after occurrence of a triggering event   |
| <b>Form SD</b>   | Generally due no later than May 31 of each year (due May 31, 2022)   |
| <b>Form 13F</b>  | 45 days after calendar year-end and after each of the first three quarter-ends (February 14, May 16, August 15, and November 14, 2022)   |
| <b>Form 11-K</b> | 90 days after plan's fiscal year-end (March 31, 2022); plans subject to ERISA may file the plan statements within 180 calendar days after plan's fiscal year-end (June 29, 2022) |

## FORM 10-K DEADLINES\*

Large Accelerated Filers

**March 1**

60 days after fiscal year-end

Accelerated Filers

**March 16**

75 days after fiscal year-end

Non-Accelerated Filers

**March 31**

90 days after fiscal year-end

\* 15-day extension available with a timely Form 12b-25 filing

## FORM 20-F DEADLINE<sup>†</sup>

Foreign Private Issuers

**May 2**

4 months after fiscal year-end

† 15-day extension available with a timely Form 12b-25 filing

## FORM 40-F DEADLINE

Canadian Foreign Private Issuers qualifying for the Multi-Jurisdictional Disclosure System

**Same day annual report is due to be filed in Canada**

## FORM 10-Q DEADLINES\*\*

Large Accelerated Filers and Accelerated Filers

**May 10, August 9, and November 9**

40 days after each quarter-end

Non-Accelerated Filers

**May 16, August 15, and November 14**

45 days after each quarter-end

\*\* 5-day extension available with a timely Form 12b-25 filing

## DEFINITIVE PROXY STATEMENT (DEF 14A)

or information statement if Part III of Form 10-K incorporates information from proxy by reference

**May 2**

120 days after fiscal year-end

EDGAR filings may be made between 6:00 a.m. and 10:00 p.m. ET on weekdays (excluding U.S. federal holidays). Filings submitted after 5:30 p.m. ET receive the next business day's filing date (except Section 16 filings and Rule 462(b) registration statements, which receive the actual filing date).

NYSE and Nasdaq trading hours generally are 9:30 a.m. to 4:00 p.m. ET. NYSE and Nasdaq each have rules requiring listed companies to provide them with advance notice of disclosures of material information (NYSE Listed Company Manual Section 202.06; NYSE American Company Guide, Part 4; Nasdaq Marketplace Rule 5250).